QMS Audit Notification Report for DHHS Director

QMS Tracking Number	Related Audits*	Date Audit Began	Division	Audit Title	Reviewing Agency	Status	Type of Audit	
306		1/2/2020	DHHS-PH	Targeted Case Management (TCM) Program FY 16/17 Medi-Cal Limited Desk Audit	Department of Health Care Services (DHCS)	Pending a Report/Program Summary	Financial	2020 Audit Notification
301		3/24/2020	DHHS-MH	Mental Health (MH) Inpatient Treatment Services Triennial Program Oversight & Compliance Review	Department of Health Care Services (DHCS)	Scheduled	Program and Financial	
302		6/2/2020	DHHS-MH	MENTAL HEALTH SERVICES ACT (MHSA) PROGRAM REVIEW	Department of Health Care Services (DHCS)	Scheduled	Program and Financial	
307		7/1/2020	DHHS-SS	CalWORKS Program 2020 Eligibility Case File Reviews	California Department of Social Services (CDSS) Civil Rights Division	Scheduled	Program and Financial	
QMS Tracking Number	**Related Audits***	**Date Audit Began**	**Division**	**Audit Title**	**Reviewing Agency**	**Status**	**Type of Audit**	
264		3/26/2019	DHHS-PH	Shellfish Inspection California ELAP Request	CA Water Boards	Report in Review by Program	Program	2019 Audit Notification
271	273	1/15/2019	DHHS-PH	Women, Infant, Children (WIC) Program Monitoring Visit	State Women Infant Child (WIC)	Pending a Report/Program Summary	Program	
272		1/23/2019	DHHS-SS	FFY 2017 Temporary Assistance for Needy Families & Work Incentive Nutritional Supplement (WINS) Work Participation Rate (WPR) Validation Review	CA Dept. of Social Services (CDSS)	Pending a Report/Program Summary	Program and Fiscal	
273	271	1/15/2019	DHHS-PH	Woman, Infant, and Child (WIC) Program Monitoring Visit	CA Dept. of Public Health (CDPH)	Pending a Report/Program Summary	Program	
274		3/26/2019	DHHS	Civil Rights Audit	California Department of Social Services (CDSS) Civil Rights Division	Pending a Report/Program Summary	Program	
275		3/20/2019	DHHS-PH	FY 17/18 Ryan White Part B site-monitoring visit	Office of AIDS CARE	Pending a Report/Program Summary	Other - Non-Audit Site Visit	
278		5/29/2019	DHHS-SS	Adoption Assistance Program (AAP) Review	California Department of Social Services (CDSS)	CAP/Appeal Pending	Program	
279		5/8/2019	DHHS-MH	Substance Abuse Block Grant (SABG) and State Plan DMC Annual Review	Department of Health Care Services (DHCS)	CAP/Appeal Pending	Program	
283		3/11/2019	DHHS-MH	Humboldt County Short-Doyle/Medi-Cal Program Audit - FY 2011 - 2012	Department of Health Care Services (DHCS)	Pending a Report/Program Summary	Financial	
284		5/13/2019	DHHS-MH	HCPR Post Service Post Payment Site Visit	Department of Health Care Services (DHCS)	CAP/Appeal Pending	Program and Financial	

QMS Tracking Number	Related Audits*	Date Audit Began	Division	Audit Title	Reviewing Agency	Status	Type of Audit
285		9/10/2019	DHHS-MH	Monitoring Schedule for 2019-20 & Case Review Tool Elements Guide IHSS	California Department of Social Services (CDSS)	Pending a Report/Program Summary	Program and Financial
286		12/31/2019	DHHS-MH	Payment Error Rate Measurement (PERM)	Department of Health Care Services (DHCS)	Notification without a Date Specified	Financial
288		5/23/2019	DHHS-PH	Targeted Case Management (TCM) Program FY 15/16 Medi-Cal Limited Desk Audit	Department of Health Care Services (DHCS)	Pending a Report/Program Summary	Financial
289		7/30/2019	DHHS-SS	Resource Family Approval (RFA)	Department of Social Services (DSS) Community Care Licensing (CCL)	Pending a Report/Program Summary	Program
290		6/14/2019	DHHS-PH	AIDS Drug Assistance Program Enrollment Site Audit Tool	California Department of Public Health (CDPH)Office of AIDS	Pending a Report/Program Summary	Other - Non-Audit Site Visit
291		6/25/2019	DHHS-MH	Unannounced DHCS Audit of Sempervirens	Department of Health Care Services (DHCS)	Pending a Report/Program Summary	Program
292		8/29/2019	DHHS-SS	Child Care Bridge Site Visit	California Department of Social Services (CDSS) Child Care Programs Bureau	Pending a Report/Program Summary	Other - Non-Audit Site Visit
294		8/14/2019	DHHS-SS	CDSS - WINS Desk Review FFY18	California Department of Social Services (CDSS)	Pending a Report/Program Summary	Program and Financial
295	245	11/13/2019	DHHS-PH	Childhood Lead Poisoning & Prevention Program (CLPPP) Site Visit	CA Dept. of Public Health (CDPH)	Pending a Report/Program Summary	Program
296		11/13/2019	DHHS-MH	CA External Quality Review Organization (CAEQRO)	Behavioral Health Concepts, Inc. (BHC)	Pending a Report/Program Summary	Program
297		9/24/2019	DHHS-PH	Oral Health program site visit	Department of Health Care Services (DHCS)	Pending a Report/Program Summary	Other - Non-Audit Site Visit
299		10/24/2019	DHHS-PH	State WIC Nutrition Consultant onsite tomorrow	State Women Infant Child (WIC)	Pending a Report/Program Summary	Other - Non Audit Technical Assistance
300		12/31/2019	DHHS-MH	Cal OES Performance Review of County Victim Services (XC) Program	Cal OES	Notification without a Date Specified	Program
304		12/10/2019	DHHS-SS	Women, Infants, and Children (WIC) Program Monitoring Visit (PMV)	Judy Sheldon of State WIC	Pending a Report/Program Summary	Other - Non-Audit Site Visit
305		12/24/2019	DHHS-PH	CDPH for DHCS-Investigator at 720	CDPH for DHCS	Pending a Report/Program Summary	Other - Non-Audit Site Visit

2019 Audit Notification

QMS Tracking Number	Related Audits*	Date Audit Began	Division	Audit Title	Reviewing Agency	Status	Type of Audit
230		5/2/2018	DHHS-SS	Income Eligibility Verification System (IEVS) Review	CA Dept. of Social Services	CAP/Appeal pending	Program and Fiscal
231		10/31/2018	DHHS-PH	Certified Unified Program Agency (CUPA) Program Evaluation	CA Environmental Protection Agency (CalEPA)	Report in Review by Program	Program and Fiscal
241		2/15/2018	DHHS-SS	Adoption and Foster Care Analysis and Reporting System (AFCARS)	California Department of Social Services (CDSS)	Pending report/program summary	Program
242		4/18/2018	DHHS-MH	Substance Abuse Block Grant (SABG) and State Plan DMC Annual Review	Department of Health Care Services (DHCS)	CAP/Appeal pending	Program and Fiscal
244		3/22/2018	DHHS-PH	Partnership Healthcare Effectiveness Data and Information Set (HEDIS) Medical Record Review	Partnership Healthplan of CA (PHC) through Health Data Vision (HDVI)	Pending report/program summary	Program and Fiscal
247		11/14/2018	DHHS-SS	ILP Site Visit	California Department of Social Services (CDSS)	Pending a report/ program summary	Other - Site Visit Non-Audit
248		4/9/2018	DHHS-PH	Women, Infants, and Children (WIC) FFY 16/17 Financial Audit	State Controller's Office	Pending report/program summary	Financial
250		5/14/2018	DHHS-PH	Housing Opportunities for Persons With Aids (HOPWA) Monitoring Visit	CDPH Office of AIDS	Pending report/program summary	Other - Site Visit Non-Audit
253		9/11/2018	DHHS-SS	In-Home Supportive Services (IHSS) Review	CA Dept. of Social Services (CDSS)	Pending a report/ program summary	Program
256		5/7/2018	DHHS	Privacy and Security Risk Assessment	Tevora	Report in review by program	Other - Technical Assistance Non-Audit
259		7/16/2018	DHHS-SS	Refugee Cash Assistance Program	Office of Inspector General (OIG) via California Department of Social Services (CDSS)	Pending report/program summary	Program
261		2/8/2018	DHHS-SS	Stipulation for Entry of Final Judgement and Permanent Injunction	Superior Court of the State of California County of Humboldt	Other - Pending Legal Injunction	Other - Legal

2018 Audit Notification

QMS Tracking Number	Related Audits*	Date Audit Began	Division	Audit Title	Reviewing Agency	Status	Type of Audit	
262		8/20/2018	DHHS-PH	Public Health Emergency Preparedness (PHEP) and Hospital Preparedness Program (HPP) Audits FY 16/17	CDPH Office of Compliance-Grant Compliance	Pending a report/ program summary	Financial	
263		8/13/2018	DHHS-SS	CalFresh Management Evaluation Review	California Department of Social Services (CDSS)	CAP/Appeal pending	Program	2018 Audit Notification
265		7/5/2018	DHHS-PH	Targeted Case Management (TCM) Program FY 14/15 Medi-Cal Limited Desk Audit	Department of Health Care Services (DHCS)	Pending a report/ program summary	Financial	
268		11/5/2018	DHHS-MH	MH Short Doyle/Medi-Cal Fiscal/CDM audit FY 10/11	Department of Health Care Services (DHCS)	CAP/Appeal Pending	Financial	
269		10/9/2018	DHHS-SS	Humboldt County Single Audit - Social Services Programs 2018	Gallina	Pending a report/ program summary	Financial	

*The tracking of audits has identified the potential for audits to be "linked" where the CAP for one audit can close a CAP of a previous audit or where a follow-up audit to review a CAP is entered as a new notification but requires monitoring of the initial and follow-up simultaneously. This new column will allow for consistency in this monitoring and quick reference to such related/linked audits where applicable.