

| | |
|--|---|
| Organization Name | Humboldt Senior Resource Center |
| Director/CEO-First | Melissa |
| Director/CEO-Last | Hooven |
| Contact Person Name and Title-First | Janet Ruprecht |
| Contact Person Name and Title-Last | Development Coordinator |
| Contact Phone | 707-443-9747 |
| Contact Email | jruprecht@humsenior.org |
| Contact Address-Street Address | 1910 California Ave |
| Contact Address-City | EUREKA |
| Contact Address-State | CA |
| Contact Address-Postal / Zip Code | 95501 |
| Contact Address-Country | United States |
| Total current year organizational budget (\$)-Dollars | \$ 29,152,370.00 |
| # FTE Employees | 104 |
| Summarize the organization's mission (in the space provided) | Seniors and their caregivers will have a high quality of life with health, dignity, and self-determination. |
| Program / Project Title | Behavioral Health Services for Humboldt Seniors |
| 250 Word Maximum | <p>The 2020 Area 1 Agency on Aging Needs Assessment found that 22% of local older adults were currently in need of emotional support and counseling, but noted that the number is likely higher now because of the pandemic. The Humboldt County Department of Health & Human Services provides the sole older-adult-specific counseling program available, but can serve only the minority who have both Medi-Cal and high-acuity mental health needs. The Humboldt Senior Resource Center is launching Behavioral Health Services to fill the gap in older adult therapy services. This program benefits an underserved population by accepting individuals over 55 who have varying levels of need and a range of insurances.</p> <p>During the first year, this program will create five new jobs in the Diversified Health Sector. To serve the projected client base of 75 (2925 visits), we will build a team that includes three clinical social workers, a case manager, and one support staff. We expect to generate \$324,000 in fees by the end of the first full year of operations and be self-sufficient. Specifically, we are asking for a grant to help fund a Behavioral Health Clinician.</p> <p>This project will enhance the economy by bringing in additional revenue from insurance providers. Secondly, it will increase the revenue generated by Redwood Coast PACE by expanding the type and number of participants it serves. Additionally, it will provide clinical internship opportunities for university social work students, helping to develop a workforce of trained practitioners and adding future jobs.</p> |
| Requested Amount (\$)- | \$ 30,000.00 |
| Total Project Cost (\$)- | \$ 90,618.00 |
| Grant Timeline - From: | 07/01/2021 |
| To | 06/30/2022 |
| Total Match Amount (\$)- | \$ 60,618.00 |
| Match as % of of Total Project Budget | 0.63 |

| | |
|---|--|
| Cash Match Amount (\$)- | \$60,618.00 |
| Cash Match as % of of Total Project Budget | 0.63 |
| In-Kind Cash Match Amount (\$)- | \$- |
| In-Kind Match as % of of Total Project Budget | 0 |
| Number of new FTE jobs created, if funded: | 5 |
| Number of FTE jobs retained, if funded (jobs that would otherwise be eliminated) | |
| Number of permanent, long term, private sector jobs to be created: | 5 |
| Please provide a brief explanation of how job creation/retention numbers were calculated | 3 clinicians, 1 case manager, 1 administrative assistant |
| Type of project-Planning | No |
| Type of project-Implementation | Yes |
| Geographic focus of project | Northern And Coastal Humboldt |
| If you receive less funding than you have requested will you still be able to move forwards w | Yes |
| *Which Industry is your project working with (check all that apply): | |
| Diversified Health Care | Yes |
| Specialty Food, Flowers and Beverages | No |
| Building and Systems Construction | No |
| Investment Support Services | No |
| Management and Innovation Services | No |
| Niche Manufacturing | No |
| Tourism | No |
| Forest Products | No |
| Arts and Culture | No |
| Alternative Agriculture | No |
| Strategy being employed to promote economic development (check off all that apply): | |
| Supporting development of pre-permitted commercial space | No |
| Reducing regulatory bottlenecks for business retention or creation | No |
| Supporting economic development infrastructure | No |
| Developing new strategies for economic development | No |
| Providing access to external markets or plugs the economic leaks | No |
| Retaining and growing existing businesses | No |
| Providing workforce training | Yes |
| Increasing the number of new businesses | No |
| Leveraging future funding or projects | No |
| Reducing poverty by helping people to develop business skills | No |
| Other (describe): | Yes |
| Desription of "Other" Strategies | Providing Health Services For Unmet Needs |
| Are Any of the Following Required, and if so are they already in place? | |
| Building Permits | |

| | |
|---------------------|-----|
| Market Review | |
| Legal Review | |
| Regulatory Approval | |
| Consultants hired | |
| Staff hired | Yes |

Humboldt Senior Resource Center Narrative

Behavioral Health Services for Humboldt Seniors

1) The current situation and need: In Humboldt County, **there are no** community organizations with **behavioral health programs specifically for older adults**. The County's Department of Health & Human Services provides the sole older-adult-specific counseling program available, but can serve only those with Medi-Cal who have high-acuity mental health needs. Organizations such as the Open Door Clinic, St. Joseph's Health System, and United Indian Health are scrambling to outsource their Behavioral Health services, and they have no focus on the specific needs of elders.

Further evidence of the community need is the Area 1 Agency on Aging's needs assessment survey, which found that 22% of local older adults were currently in need of emotional support and counseling. The situation is exacerbated by the Covid-19 pandemic, which has increased social isolation, anxiety, and depression among seniors.

The opportunity: This problem presents an entrepreneurial economic opportunity that the Humboldt Senior Resource Center (HSRC) is well positioned to seize. HSRC operates three health care programs that serve frail and disabled adults and are designed to help them be healthy and independent enough to remain in their homes. These are the Adult Day Health & Alzheimer's Services program, the Multipurpose Senior Services Program (MSSP), and Redwood Coast PACE. It is because of our experience with the participants of these programs that we see the community's need for a Behavioral Health Services program.

Future Vision: Behavioral Health Services from HSRC will include therapy, case management, and health services that focus on improving an individual's mental, behavioral, and emotional functioning. Clients will develop the skills to navigate their lives to reach their personal goals. Participants will have a "toolkit" of coping skills. They will also identify and develop social and community support and connections that help reinforce and sustain progress.

There will be two phases to the launch. First, a "soft launch," in which the program serves participants who are already enrolled in the HSRC programs who need this additional therapeutic service. This will be staffed primarily by existing staff from HSRC's Social Services department, the first clinician hired, and the case manager. Most clients are covered by Medi-Cal and Medicare, for which we are already a provider. We are not asking for grant funds for this initial phase.

The second phase, or "hard launch," will occur when we expand our services to the community. In this phase we will increase the staff to meet the goal of five new positions, capable of serving 75 clients (this is 2,925 visits in a year, based on a conservative estimate of an average of three visits per month.) This expansion phase is planned to begin in July 2021, when we hire the second Behavioral Health Clinician.

We are asking for a grant of \$30,000, which is a little less than one third of the cost for the second clinician's first year.

Another facet of the expansion phase includes reaching out to the community by forming referral partnerships with the Open Door Community Health Centers, the Area 1 Agency on Aging, Beacon Healthcare, United Indian Health, and St. Joseph Health System.

At this point, we will also be expanding the range of insurers to include Medicare, Medi-Cal, Blue Shield, and Blue Cross.

Economic impact: There are three impacts. First, the Behavioral Health Services program itself. It will create five new jobs in the base industry cluster of Diversified Healthcare, all paid above the median wage level (see table under 5) Jobs directly created, below). The program will generate revenue from insurance fees. Since the service will be newly available, this brings new revenue into the county. When all staff is in place, the projected annual revenue is \$324,000.

The program will be self-sustaining.

The second impact is on the growth of Redwood Coast PACE. PACE can only enroll people for whom we can provide complete, wrap-around care. During the past year, at least 20 potential participants have had to be turned away because their mental health or substance use requires specialized care. That will change when we can refer to the new Behavioral Health Services program.

The third impact is that the program will contribute to the development of the Diversified Health Care workforce. Behavioral Health Services will provide clinical internship opportunities for university social work and counseling students, helping to develop a workforce of trained practitioners.

Challenges to be overcome: The first set of challenges are related to **client participation**.

Transportation is included in the PACE services. But community clients may have difficulty accessing services due to a lack of transportation, or a physical inability to travel the distance required for face-to-face meetings. This will be mitigated by offering telehealth services, as well as in-person visits to the participant's home or a community setting that is easier for them to reach.

Another challenge to participation could be the affordability of services. This will be mitigated by HSRC accepting a broad range of insurances, as well as sliding-scale private pay to make services accessible.

Because this is a new program, clients may not know that the services are available. The solution is to create relationships with referral sources such as the Open Door Health Clinics and St. Joseph Health Systems, with whom we have established strong relationships, to generate our client base. Also, we will have a marketing campaign about these new services to get the word out to the community.

The second set of challenges are around **employee recruitment** and retention. Most behavioral health practitioners do not have experience providing services to older adults. They do not feel confident, or competent, in providing the services to this group.

As an employee benefit, HSRC will pay the costs of maintaining licensure (renewal fees and continuing education units are expensive). Trainings for appropriate therapeutic interventions indicated for use with older adults will be paid for as well.

Also, salary ranges are competitive (at or above the level of DHHS mental health, which is the largest mental/behavioral health agency in the county/employs the most therapists).

Finally, HSRC will offer internship opportunities to Social Work students from Humboldt State University, which will open a pipeline for experienced behavioral health practitioners to train and educate the future workforce in providing high-quality, senior-centered, evidence-based care.

2) The measurable objectives and their expected outcomes.

- Benefits the Diversified Health Industry by generating \$324,000 revenue (first year).
- Creates five new jobs.
- Creates a new business that will be self-sufficient by the end of the first year.
- Serves minimum of 75 clients (2925 visits) in the first full year.

3) Meeting the objectives and measuring progress.

- Provide evidence-based therapy appropriate for older adults that takes into account their unique needs.
- Collaborate with client's healthcare providers and support team to reinforce skills learned in behavioral health sessions and improve client outcomes.
- Progress will be measured through client self-report (qualitative data) and through psychometric assessment measures given prior to beginning treatment and at three-month intervals to monitor any changes/improvement and/or need for changing course in treatment.

4) The work that has already been done to ensure success.

| Date | Milestone(s) |
|---------------|---|
| November 2020 | <ul style="list-style-type: none">• National Provider Number (NPI) granted by Centers for Medicare and Medicaid (CMS)• Purchased and launched Electronic Health Records (EHR) system for service charting, tracking, billing• Developed job description and recruited for Behavioral Health Clinician |
| December 2020 | <ul style="list-style-type: none">• Developed client enrollment forms including consent to treat, authorizations for release of protected health information, and HIPAA/privacy practices |

| | |
|--------------|---|
| | <ul style="list-style-type: none"> • Drafted interagency contracts with PACE and Adult Day Health • Recruited for first Behavioral Health Clinician • Began to develop community resource referral relationships |
| January 2021 | <ul style="list-style-type: none"> • Behavioral Health Clinician interviews • Finalize/enact interagency contracts • Develop job description for Case Manager |

5) Jobs directly created

| Job Title | Roles/Responsibilities | Salary Range |
|---------------------------------|---|--------------------|
| Behavioral Health Clinician (3) | Provides individual and/or group therapy, assessment/diagnosis, treatment planning, crisis intervention, consultation, and collaboration. <i>(*May be a Licensed Clinical Social Worker-LCSW or Licensed Marriage and Family Therapist-LMFT)</i> | \$32.66-\$41.37/hr |
| Behavioral Health Case Manager | Provides regular support to client to develop/maintain skills required to achieve independent living status, links clients to appropriate community resources, and provides advocacy support. | \$24.85-\$34.48/hr |
| Administrative Assistant | Insurance/client billing, new client paperwork, scheduling, phones, prepares reports and correspondence. | \$19.37-\$24.54/hr |

6) N/A (enhance natural environment)

7) How the project contributes to the quality of life for residents. First of all, the project benefits the underserved population of older adults who do not have access to behavioral health services. Humboldt County is a medically underserved geographic area. Access to quality health care for the elderly and the disabled is a huge contribution to the quality of life in this community. It will help families, caregivers, and clients find help inside Humboldt County, and can help them continue to reside here instead of moving elsewhere to seek resources. We are working to help Humboldt County become more aging-friendly.

Secondly, this is a preventative service: it provides needed services that help decrease the likelihood of psychiatric hospitalization and unnecessary hospital visits.

8) Capacity of the organization and staff. HSRC has been serving Humboldt seniors for 46 years with programs designed to help elders remain healthy and independent enough to age with dignity in their own homes. MSSP assists 100 low-income seniors to locate, arrange, and

manage supportive services. Adult Day Health is a therapeutic day program that serves 70 participants who have mental health conditions and physical disabilities. Redwood Coast PACE provides healthcare and support services for 208 chronically-ill, mostly low-income older adults who are eligible for a skilled nursing facility, but prefer to remain in their homes.

HSRC has a budget of \$29 million and 140 employees. It has the management, finance, information technology, and human resource expertise to implement the project.

The project leader is Samantha Day, HSRC Director of Social Services. She is a Licensed Clinical Social Worker (LCSW) and clinical supervisor with ten years of experience in behavioral health, including the administration of evidence-based therapies and practices. She holds a BA in psychology, a Masters in Social Work, both from Humboldt State University, and is completing a doctorate in Social Work at the University of Southern California.

Thea Rowan has a Masters in Social Work with an emphasis in clinical work (therapy). She is a registered Associate Clinical Social Worker working toward licensure as an LCSW. Thea is well-versed in working with older adults because of her two years working with MSSP clients.

The first position being hired for, during the soft launch, is the Behavioral Health Clinician. The individual hired will provide individual counseling to the existing 25 PACE participants who have socio-emotional needs that require specialized behavioral health interventions.

HSRC's advisors for this project include Cindy Simpson, Director of Finance, Jolene Thrash, Director of Human Resources, and Barbara LaHaie, Director of Redwood Coast PACE and former Humboldt County, DHHS Assistant Director of Programs, where she provided oversight to the operations of the Social Services, Public Health, and Mental Health Branches.

9) N/A (consultants)

10) Acknowledging the Headwaters Fund. HSRC will be doing a lot of publicity around this project and will acknowledge the Headwaters Fund as an early investor. We will publicize the grant with a press release and mention the importance of the support in any public speech or communication about the support received from the community.

Humboldt Senior Resource Center

Project Budget & Match Funds

| Project Expense Item | Total Cost (\$) | Requested Amount from Headwaters Grant Fund | Amount from Matching Funds | Source of Matching Funds |
|---|-----------------|---|----------------------------|--|
| Direct Salaries and Fringes | 83,558 | | | |
| <i>Behavioral Health Clinician (1.0 FTE)</i> | 67,933 | 30,000 | 60,618 | Schmidbauer Gift 25,000 Direct Mail Campaign 15,000 Grant Funds 20,618 |
| <i>Benefits @ 23%</i> | 15,625 | | | |
| | | | | |
| Professional Fees <i>Liability/insurance, continuing education, license renewal</i> | 750 | | | |
| | | | | |
| Travel <i>Mileage for client appointments at \$0.56/mile</i> | 560 | | | |
| | | | | |
| Overhead: Non-Staff Related | 5750 | | | |
| <i>Percentage of Total Project Cost</i> | 6% | | | |
| | | | | |
| Total Project Cost | 90,618 | | | |

Match Sources/Fundraising Plan

| Funding Source | Amount | Progress to date | Timeline |
|-------------------------------|---------------|---------------------------------|-------------------------|
| Schmidbauer Gift | \$25,000 | In hand | Now |
| Direct Mail Campaign | \$15,000 | March | Revenue in March 2021 |
| Anthem Foundation | \$100,000 | Application under review | Announcement April 2021 |
| Del E. Webb Foundation | \$50,000 | Letter of Interest under review | Announcement May 2021 |
| Mel & Grace McLean Foundation | \$30,000 | Initial discussion | |
| Humboldt Area Foundation | \$30,000 | Initial discussion | |

Humboldt Senior Resource Center
2020/ 2021 BOARD OF DIRECTORS

| Name | Title | Profession | Affiliations |
|----------------------|----------------|----------------------------------|---|
| Irvine, Jack MD | President | Retired Physician | Clark Memorial Museum, Humboldt County Historical Society, St. Joseph Board of Trustees, St. Joseph Medical Staff, Humboldt Library Foundation |
| Aste, James | Vice President | Attorney | Aste Law, Ferndale Senior Resource Agency |
| Dye Leer, Nancy | Secretary | Retired HSU Lecturer | Six Rivers Planned Parenthood, St. Albans Episcopal Church Vestry |
| Foote, Willard | Treasurer | Retired Healthcare Administrator | PBS North Coast community Advisory Board, Multiple Local and State Healthcare Associations and Humboldt Senior Resource Center Board. |
| De La O, Patti | Director | Project Manager | Eel River Valley American Legion Baseball |
| Hansen, Susan | Director | Retired HSU Administrator | National Association of Colleges and Employers, Western College Placement Association, Eureka Symphony Board, Changing Tides Board, Workforce Investment Board, Senior Citizen Foundation Board |
| Keating, Dianne | Director | Finance Director | Hospice of Humboldt |
| Kessler, Bruce | Director | Retired Physician | St Joseph Hospital Foundation, Humboldt IPA |
| Rainwater-Gish, Joan | Director | Fitness Professional | Boys & Girls Club, Breast Health Project, Eureka Chamber of Commerce, Eureka Economic Development Council, Union Labor, A1AA, Senior News Advisory Committee |
| Ramos, Frank | Director | Businessman | Fortuna Sunrise Rotary Club, Multi-Generational Center (MGC) |



HEALTH INSURANCE COUNSELING & ADVOCACY PROGRAM (HICAP)
LONG TERM CARE OMBUDSMAN PROGRAM • SENIOR INFORMATION & ASSISTANCE (I&A)
STAY PUT SERVICES • VOLUNTEER DRIVER PROGRAM • VOLUNTEER CENTER OF THE REDWOODS

Promoting Independence for a Lifetime

January 14, 2021

Kenny Spain, Executive Director
Humboldt County Headwaters Fund
520 E Street
Eureka, CA 95501

Dear Kenny,

I am writing on behalf of the Area 1 Agency on Aging (A1AA) in support of the Humboldt Senior Resource Center's application for a Headwaters Fund grant, entitled "Behavioral Health Services for Seniors."

Every four years, A1AA undertakes a community survey to determine our priorities for the next four years. We are required to assess the need for supportive services, which includes the identification of unmet needs, the services, and the resources available to meet those needs.

An unmet need for older adults in Humboldt County is for Behavioral Health Services. Our survey, conducted before the pandemic, found that 22% of local older adults were in need of emotional support and counseling. That number has likely grown due to the social isolation brought on by the need for older adults to shelter at home because they are at higher risk for Covid-19.

This new program will provide therapy, case management, and health services that focus on improving individual mental, behavioral, and emotional functioning, specifically tailored to the needs of older adults. The program will serve those who have varying levels of need and a range of insurances. It will bring a much-needed service to our community. And it will be a driver of economic growth in the target industry of Diversified Healthcare.

EMAIL: A1AA@A1AA.ORG • WEBSITE: A1AA.ORG • (800) 408-4636 (TOLL FREE)

HUMBOLDT OFFICE

434 7TH STREET • EUREKA, CA 95501
(707) 442-3763 (PHONE) • (707) 442-3714 (FAX)
HICAP (707) 444-3000 • LTCOP (707) 269-1330 (CONFIDENTIAL)

DEL NORTE OFFICE

1765 NORTHCREST DR. • CRESCENT CITY, CA 95531
(707) 464-7876 (PHONE) • (707) 464-5096 (FAX)

A1AA IS A LOCAL NONPROFIT. DONATIONS HELP US HELP OUR COMMUNITY.

The Humboldt Senior Resource Center has the experience serving the social and health needs of older adults that will make this project a success. They have the organizational capacity to make it work, as their creation of the Alzheimer's Services Program, Adult Day Health, and Redwood Coast PACE demonstrates. Like any serious undertaking, it requires some capital to get it off the ground.

I recommend that the Headwaters Fund make the investment of a \$30,000 grant to help launch a critically needed program that will be self-sustaining by the end of the year.

Sincerely,

A handwritten signature in blue ink, appearing to read 'MK' or 'M. Kraft', with a stylized, cursive-like flow.

Maggie Kraft, MSW
Executive Director



Program of All-inclusive Care for the Elderly
A program of the Humboldt Senior Resource Center

January 14, 2021

Kenny Spain, Executive Director
Humboldt County Headwaters Fund
520 E Street
Eureka, CA 95501

Dear Kenny,

As the Director of Redwood Coast PACE, I have been involved in the Humboldt Senior Resource Center's Behavioral Health Services program since inception. I'm writing to recommend the Humboldt Senior Resource Center's application for a Headwaters Fund grant, entitled "Behavioral Health Services for Seniors."

This program will address an important unmet need in our community: providing therapy, case management, and health services that focus on improving individual mental, behavioral, and emotional functioning, specifically tailored to the needs of older adults.

I am very aware of this unmet need because of my years spent overseeing the Mental Health Branch of the Humboldt County Department of Health and Human Services (DHHS), and my current experience directing PACE, which provides health care and social services to frail elders. We have a problem in this county because the only older-adult-specific counseling program available comes from DHHS, where it can serve only those with Medi-Cal who have high-acuity mental health needs. That leaves a lot of people underserved, because they don't qualify for Medi-Cal or their needs are not severe.

I have served as a consultant in the development of the Behavioral Health Services program because, as Director of PACE, I need somewhere to refer my clients who need these services. At present, there are approximately 25 current PACE participants awaiting referral. We can only enroll people for whom we can provide complete care. This means that at the present time, there are many people we cannot accept at all because their mental health needs are too acute. That will change when we can refer to the new Behavioral Health Services program.

The Behavioral Health Program will remove a constraint to the growth of PACE. Please give serious consideration to the Humboldt Senior Resource Center's grant request for \$30,000 toward staff compensation during the launch phase of the project.

Sincerely,

Barbara LaHaie
Barbara LaHaie (Jan 14, 2021 11:48 PST)

Barbara LaHaie,
Director, Redwood Coast PACE

Internal Revenue Service

Department of the Treasury

**P. O. Box 2508
Cincinnati, OH 45201**

Date: October 23, 2000

Humboldt Senior Resource Center Inc.
1910 California Street
Eureka, CA 95501-2870

Person to Contact:
Ronnie Clemons #31-04020
Customer Service Specialist
Toll Free Telephone Number:
8:00 a.m. to 9:30 p.m. EST
877-829-5500
Fax Number:
513-263-3756
Federal Identification Number:
94-2261434

Dear Sir or Madam:

This letter is in response to your request today for a copy of your organization's determination letter. This letter will take the place of the copy you requested.

Our records indicate that a determination letter issued in January 1975, granted your organization exemption from federal income tax under section 501 (c)(3) of the Internal Revenue Code. That letter is still in effect.

Based on information subsequently submitted, we classified your organization as one that is not a private foundation within the meaning of section 509(a) of the Code because it is an organization described in sections 509(a)(1) and 170(b)(1)(A)(vi).

This classification was based on the assumption that your organization's operations would continue as stated in the application. If your organization's sources of support, or its character, method of operations, or purposes have changed, please let us know so we can consider the effect of the change on the exempt status and foundation status of your organization.

Your organization is required to file Form 990, Return of Organization Exempt from Income Tax, only if its gross receipts each year are normally more than \$25,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of the organization's annual accounting period. The law imposes a penalty of \$20 a day, up to a maximum of \$10,000, when a return is filed late, unless there is reasonable cause for the delay.

All exempt organizations (unless specifically excluded) are liable for taxes under the Federal Insurance Contributions Act (social security taxes) on remuneration of \$100 or more paid to each employee during a calendar year. Your organization is not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, these organizations are not automatically exempt from other federal excise taxes.

Donors may deduct contributions to your organization as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to your organization or for its use are deductible for federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Humboldt Senior Resource Center, Inc.
94-2261434

Your organization is not required to file federal income tax returns unless it is subject to the tax on unrelated business income under section 511 of the Code. If your organization is subject to this tax, it must file an income tax return on the Form 990-T, Exempt Organization Business Income Tax Return. In this letter, we are not determining whether any of your organization's present or proposed activities are unrelated trade or business as defined in section 513 of the Code.


The law requires you to make your organization's annual return available for public inspection without charge for three years after the due date of the return. You are also required to make available for public inspection a copy of your organization's exemption application, any supporting documents and the exemption letter to any individual who requests such documents in person or in writing. You can charge only a reasonable fee for reproduction and actual postage costs for the copied materials. The law does not require you to provide copies of public inspection documents that are widely available, such as by posting them on the Internet (World Wide Web). You may be liable for a penalty of \$20 a day for each day you do not make these documents available for public inspection (up to a maximum of \$10,000 in the case of an annual return).

Because this letter could help resolve any questions about your organization's exempt status and foundation status, you should keep it with the organization's permanent records.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

This letter affirms your organization's exempt status.

Sincerely,

A handwritten signature in cursive script that reads "John E. Ricketts".

John E. Ricketts, Director, TE/GE
Customer Account Services

| Humboldt Senior Resource Center | FY 20/21 Original Budget |
|---|---------------------------------|
| | |
| | |
| TOTAL GRANTS | 1,660,510 |
| TOTAL FUNDRAISING | 862,682 |
| TOTAL PROGRAM REVENUE | 25,562,163 |
| TOTAL PROJECT REVENUE | 85,046 |
| TOTAL SALES | 492,096 |
| TOTAL OTHER REVENUE | 15,390 |
| TOTAL INTER PROJECT REVENUE | 1,047,440 |
| TEMPRARILY RESTRICTED FUNDS | 0 |
| TOTAL REVENUE | 29,725,328 |
| | |
| TOTAL PERSONNEL COST | 8,314,350 |
| TOTAL OCCUPANCY | 396,983 |
| TOTAL COMMUNICATIONS | 81,023 |
| TOTAL SUPPLIES | 4,069,402 |
| TOTAL TRAVEL AND TRAINING | 113,078 |
| TOTAL TRANSPORTATION | 252,511 |
| TOTAL OUTSIDE SERVICES | 13,588,145 |
| TOTAL EQUIPMENT | 525,486 |
| LOAN INTEREST | 61,141 |
| TOTAL OTHER EXPENSES | 636,672 |
| TOTAL INTER PROJECT EXPENSE | 1,113,578 |
| TOTAL EXPENSES | 29,152,370 |
| | |
| | |
| SURPLUS FROM OPERATIONS | 572,958 |
| | |
| SURPLUS(DEFICIT) NON OPERATIONAL | 21,000 |
| | |
| REVENUE BEFORE NON CASH | 593,958 |
| | |
| TOTAL NON CASH | (212,421) |
| | |
| NET SURPLUS (DEFICIT) | 381,537 |

Humboldt Senior Resource Center

Behavioral Health Services for Seniors Timeline & Milestones

| Date | Milestone(s) |
|---------------|--|
| November 2020 | <ul style="list-style-type: none">• National Provider Number (NPI) granted by Centers for Medicare and Medicaid (CMS)• Purchased and launched Electronic Health Records (EHR) system for service charting, tracking, billing• Developed job description and recruited for Behavioral Health Clinician |
| December 2020 | <ul style="list-style-type: none">• Developed client enrollment forms including consent to treat, authorizations for release of protected health information, and HIPAA/privacy practices• Drafted 2 interagency contracts• Recruited for Behavioral health Clinician• Began to develop community resource referral relationships |
| January 2021 | <ul style="list-style-type: none">• Behavioral Health Clinician interviews• Finalize/enact interagency contracts• Develop job description for Behavioral Health Case Manager |
| February 2021 | <ul style="list-style-type: none">• 1st Behavioral Health Clinician hired• Soft launch with in-house contracts• Provide therapeutic services to 25 PACE clients• Provide consultation and therapeutic services to Adult Day Health• Begin Behavioral Health Case Manager recruitment |
| April 2021 | <ul style="list-style-type: none">• Behavioral Health Case Manager hired• Finalize job description and begin recruitment for Administrative Assistant• Begin recruitment for 2nd Behavioral Health Clinician |

| | |
|----------------|---|
| July 2021 | <ul style="list-style-type: none"> • Administrative Assistant hired • 2nd Behavioral Health Clinician hired • <i>Launch services to community</i> • Provide services to 25 community older adults |
| September 2021 | <ul style="list-style-type: none"> • Begin recruitment for 3rd Behavioral Health Clinician |
| November 2021 | <ul style="list-style-type: none"> • 3rd Behavioral Health Clinician Hired • Provide services to an additional 25 community seniors |



Humboldt Senior Resource Center

Supporting seniors in maintaining health, dignity and self-determination.

Samantha Day, LCSW

Director of Social Services

Responsible for development and implementation of the new Behavioral Health Services program. Extensive background in training and administration of evidence-based therapies in county and community behavioral health settings.

Relevant Professional Experience

Humboldt Senior Resource Center

Director of Social Services (*August 2020 to present.*)

- Administration/program compliance of Behavioral Health Services and MSSP
- Plan, balance, monitor, and update program budgets
- Develop and maintain operational procedures for programs
- Facilitate program evaluation, internal auditing, and peer review
- Engage in state and local level stakeholder meetings
- Advocate for policy change at state level as MSSP Site Association Secretary
- Provide supervision, oversight, and evaluation of program staff
- Community outreach and service organization relationship building

Program Supervisor/Agency Licensed Clinical Social Worker Consultant for MSSP and Adult Day Health (*August 2019-August 2020.*)

Behavioral Health Consultant, Adult Day Health (*June 2018-August 2019.*)

Prior experience

Clinical Supervisor (Private) (*December 2018-Present.*)

Behavioral Health Clinician, Humboldt County Office of Education (*December 2015-August 2019.*)

Mental Health Clinician, Regional Facility/Juvenile Hall, Humboldt County Department of Health and Human Services-Mental Health Branch (*December 2012-December 2015.*)

Education

Doctorate of Social Work (DSW), University of Southern California (*projected graduation: Summer 2021*). Emphasis on Social Change & Innovation Leadership for Agencies and Communities

Masters of Social Work (MSW), Humboldt State University (*2012*)

B.A. in Psychology, Humboldt State University (*2008*)



Humboldt Senior Resource Center

Supporting seniors in maintaining health, dignity and self-determination.

Barbara LaHaie, MA

Redwood Coast PACE Director

Behavioral Health Services, consultant

Provided consultation regarding key foundational structures for a successful community behavioral health program. Assisted in structuring the preliminary phase of the program. Providing the initial client base of PACE participants for the program launch.

Relevant Professional Experience

Humboldt Senior Resource Center

Redwood Coast PACE Director, responsible for the planning, development and administration. (*March 2016 to present.*)

- Provide leadership in the development and implementation of strategic plans for Redwood Coast PACE, including definition of goals and mission, products and services.
- Provide broad-based, long-range leadership to and supervision of the Management Team.
- Ensure the fiscal integrity of Redwood Coast PACE through development and control of the operating budgets.
- Develop, supervise and administer all management and provider contracts of Redwood Coast PACE.
- Assure compliance with Federal, State and local regulatory and licensing standards applicable to Redwood Coast PACE.

Humboldt County Department of Health & Human Services Administration (2000 to March, 2016)

- Served as Assistant Director, Programs; provided oversight to the operations of the Social Services, Public Health, and Mental Health Branches. (*2007 to March, 2016*)
- Deputy Branch Director, Social Services Branch. (*2006-2007*)

Education

Master of Arts, Counseling, University of San Francisco (*1991*)

Bachelor of Arts in Social Welfare, Humboldt State University (*1986*)



Humboldt Senior Resource Center

Supporting seniors in maintaining health, dignity and self-determination.

Jolene Thrash, MPS

Director of Human Resources

Behavioral Health Services, consultant

Guided the workforce planning component of launching the new program. Collaborated with Director of Social Services to identify program strategies and build the framework for the program's budget. Assisted in development of plans around transitional phases of implementation, particularly related to staffing and organizational resources.

Relevant Professional Experience

Humboldt Senior Resource Center

Director of Human Resources (*January 2017 to present.*)

- Develop and lead strategic initiatives and manages multiple workforce development projects.
- Work collaboratively with Board committees and leadership teams to determine needs, assess current performance, and develop policies.
- Collaborated and provided internal consultation to the Board as a member of the Succession Planning and Executive Search Committee.
- Monitored and developed compensations and benefits budget of \$20M+.
- Oversee risk management concerning Federal, State, labor law, and fair employment regulatory compliance.
- Manage benefits administration including health, dental, vision, and Employee Assistance programs.
- Enforce regulatory and audit compliance for multiple programs.
- Designated to serve as Acting Chief Executive Officer in the absence of the permanent Chief Executive Officer.

Coast Central Credit Union

Member Services Operations Manager (*January 2016 to January 2017*)

Quality Service Manager (*2010-2016*)

Education

Master of Professional Studies, Pennsylvania State University, Organization Development & Change (*2018*)

College-Credit Specialty Curriculum Program, Western CUNA Management School at Pomona College (*2015*)

Bachelor of Science, Humboldt State University, Business Administration, Accounting emphasis (*2008*)