

March 23, 2021

Clerk of the Board
County of Humboldt
825 5th Street
Eureka, CA 95501

RE: Humboldt County Audit Committee

Dear Sirs/Mdms,

I write to inform you of my desire to serve Humboldt County as the Citizen Member of the Humboldt County Audit Committee. Please see attached my audit committee application with accompanying answers and personal resume.

Thank you for your consideration and the opportunity to serve the good people of Humboldt County.

Sincerely,

A handwritten signature in black ink, appearing to read "John Frahm". The signature is written in a cursive style with a large initial "J" and "F".

John Frahm

Humboldt County Audit Committee Application



Instructions: Individuals interested in serving on the Humboldt County Audit Committee must complete this application form. Please submit this completed form along with a resume to:

Mail: Clerk of the Board
County of Humboldt
Re: Humboldt County Audit Committee
825 5th Street
Eureka, CA 95501

Email: COB@co.humboldt.ca.us.

Attach more pages if necessary, but please be concise. Applications are subject to the California Public Records Act and disclosure requirements.

Name: John Frahm
Address: Eureka CA 95501
Phone:
Email:
Employer (if employed): UFCW Local 5
Position (if employed): North Coast Director

Are you applying for the Representative of a Special District or Schools? _____ or

Are you applying for the Representative as a Citizen Member? yes.

1. Why do you want to be a member on the Audit Committee?

please see the attached for #1-#8

2. This appointment requires an understanding of generally accepted accounting principles and financial statements; the ability to assess the general application of such principles in connection with the accounting for estimates, accruals and reserves; knowledgeable of or have experience in preparing, auditing, analyzing or evaluating financial statements; and possess an understanding of internal controls and procedures for financial reporting. Describe how your experience and/or employment qualifies you to serve on the Audit Committee?

3. Do you have a bachelor's degree in accounting or related field. Please specify.

4. Describe any previous Committee (or comparable) experience and how that would assist on this Committee.

5. How would you work with other Committee members to arrive at decisions?

6. How much time would you have for this committee per month?

7. Conflict of Interest:

A. Please disclose any campaign contributions you have made over the past 3 years to campaigns for the following: Board of Supervisors, Treasurer-Tax Collector, Auditor-Controller, or the campaign of a candidate to be a member of a legislative body of any local agency that has deposited funds in the county treasury (please note that campaign contributions do not prohibit a person from serving on the committee).

B. Are you employed with, or employed by, any bond writers, bond counsel, security brokerages, or financial services firms with whom the county Treasurer-Tax Collector is doing business?

Yes _____ No _____

C. Do you understand and agree to abide by the Conflict of Interest provision in Section V of the Charter of the Humboldt County Audit Committee?

Yes _____ No _____

8. Please provide three references who can speak to your qualifications and ability to serve

a. Name: _____ Title & Organization: _____

Relationship to you: _____ Phone: _____ email: _____

b. Name: _____ Title & Organization: _____

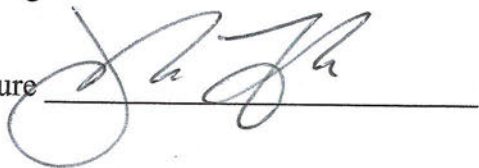
Relationship to you: _____ Phone: _____ email: _____

c. Name: _____ Title & Organization: _____

Relationship to you: _____ Phone: _____ email: _____

I certify the information on this application to be accurate and complete to the best of my knowledge:

Signature

A handwritten signature in black ink, appearing to be 'J. J. H.', written over a horizontal line.

Date

3/22/2021

- 1.) The Audit committee will play a key role in providing transparency and maintaining the public trust in the finances of the County. I believe that my experience and perspective will compliment a well rounded committee engaged in knowledgeable, meaningful and respectful discussions amongst the committee members.
- 2.) As a trustee and alternate trustee on the UFCW and Employers Trust LLC, UFCW and Employers Pension Trust, UFCW and Employers Defined Contribution Trust (Individual Account Plan), UFCW and Employers Benefit Trust (Health and Welfare fund), and UFCW and Employers Joint Pension Investment Committee I have a fiduciary responsibility to analyze and evaluate financial statements and audits, maintain adequate reserves and understand actuarial evaluations.
- 3.) I have a Bachelor's Degree in History, minor in psychology, from Humboldt State University, Magna Cum Laude.
- 4.) As a Trustee on Multi-Employer and Multi-Union funds, I work collaboratively with other Employer and Union Trustees, Co-Consultants, and Staff to provide retirement and healthcare benefits to tens of thousands of participants. All Trustees have a fiduciary responsibility to the fund participants, so we must work together to find common ground to maintain the health of these funds.
- 5.) I will start by making sure I have a thorough understanding of the issue before the committee. I will then actively listen and comprehend the concerns of other committee members to find common ground and maintain the focus and charge of the committee as directed by the County.
- 6.) I would have as much time as necessary to complete the work of the committee as directed by the County.
- 7.) A. None
B. No
C. Yes
- 8.) a.) Rick A. Silva, Executive Director, Trust Fund Administrator, UFCW & Employers Trust, LLC.
Colleague,
b.) John Nunes, President, United Food and Commercial Workers Union Local 5
Supervisor,
c.) Mike Hetticher, Vice-President APWU & Humboldt-Del Norte Central Labor Council
Colleague-Friend,

John Frahm

Eureka, California 95501

Professional Experience

North Coast Director, UFCW 5	2014- Present
Union Representative, UFCW 5	2010-2014
Grievance Coordinator (Local 101-Merged with Local 5 in 2010)	2006-2010
Union Representative, UFCW 101	2004-2006
Union Organizer, UFCW 101	2003-2004

North Coast Director, United Food & Commercial Workers Union Local 5

Trustee on the UFCW & Employers Benefit Trust (UEBT) (annual income \$547.8 million, 11 months reserves), the UFCW & Employers Individual Account Plan (IAP), the UFCW & Employers Trust LLC, and a Trustee alternate on the UFCW & Employers Pension Plan (\$3.4 Billion in Market Value Assets). UEBT, Pension Plan, and IAP Appeals Committee member. Maintain education of current Healthcare and Pension Plan trends, legal and regulatory changes, and best practices. Supervise Union Representatives working in San Francisco, Marin, Sonoma, Mendocino, Lake, Humboldt, and Del Norte Counties. Negotiate collective bargaining agreements in Retail Grocery, Retail and Wholesale Meat, Retail Drug, and Cannabis retail and manufacturing. Coordinate Steward Development Program. Supervise Grievance Committee. Coordinate Union and Employer grievance discussions, adjustment boards and arbitrations. Coordinate staff training.

During career at United Food & Commercial Workers Union have also performed:

- Membership audits
- Budget preparation and review
- Labor-Management Committee implementation and participation
- New collective bargaining agreement implementation
- Ownership change transitions and closure effects bargaining
- Event implementation and coordination
- Campaign mobilization and community outreach

As the Secretary-Treasurer of the Central Labor Council have also performed;

- Preparation of monthly and annual financial reports in compliance with the AFL-CIO
- Maintenance of tax records and compliance
- Maintenance of records and reporting for Fair Political Practices Commission compliance

Education

Humboldt State University	2000-2002
College of the Redwoods	1997-2000
International Foundation of Employer Benefit Plans (IFEBP) Annual Conference Certificates	2014-2019

John Frahm

Eureka, California 95501

Other relevant experience

Trustee, UFCW & Employers Benefit Trust (UEBT)	2014-Present
UEBT Appeals Committee	2014-Present
Trustee, UFCW & Employers Individual Account Plan	2019-Present
Trustee, UFCW & Employers Trust, LLC	2019-Present
Trustee Alternate, UFCW & Employers Pension Plan	2019-Present
President, Humboldt & Del Norte Central Labor Council	2021-Present
Secretary-Treasurer, Humboldt & Del Norte Central Labor Council	2012-2020
Humboldt County Workforce Investment Board	2012-2014
UFCW Local 5 Executive Vice-President	2014-Present
UFCW Local 101 Executive Vice-President	2006-2010