

APPLICATION FORM

COMMUNITY INVESTMENT FUND GRANT REQUEST
THE HEADWATERS FUND OF HUMBOLDT COUNTY

Use this form to begin applying for grant project financing. Send or drop off two (2) copies of this application package to: Headwaters Fund Coordinator, 520 E Street, Eureka, CA 95501. Please also email this completed application to kspain1@co.humboldt.ca.us.

It is strongly suggested that you contact the Coordinator in advance of submitting your application. Staff are available to guide you in the application process. As the Headwaters Fund is a public program, any funding application is available for review by the public.

Please type, word process (this form may be downloaded from our website) or print neatly.

A. Applicant Information

- 1. Date of application: May 19, 2020
2. Legal Name of Applicant: Humboldt Area Foundation
3. Type of Applicant (specify City, Special District, Non-Profit, Joint Powers Authority, Assessment District, Redevelopment Agency, Mello-Roos Community Facilities District, or other (specify)): Nonprofit
4. Mailing Address: 363 Indianola Road Bayside CA 95524
5. Contact Person
Name: Patrick Cleary Title: Director, Community Prosperity & Investments
Address (if different):
Phone: 707 267-9902 Fax: 707.442.9702
e-Mail: patrickc@hafoundation.org

B. Project Summary

- 6. Name of Project: COVID-19 Nonprofit Employment Support
7. Location/Address of Project (attach site map if applicable): 363 Indianola Road, Bayside CA 95524
8. Brief Description of Project Seeking Headwaters Funding: The Nonprofit Assistance Program would provide matching funds to maintain employment in nonprofit agencies in Humboldt County, providing a double bottomline benefit of maintaining important community services while preserving jobs.
9. Project Start Date: July 1, 2020 10. Project End Date: June 30, 2021 (estimate)
11. Period grant will cover: Up to one year
12. Status of Project
A. Is the grant program mentioned above in place? YES

B. How soon can you begin additional granting once you receive the funds? Immediately

C. Any other comments on project status? _____

C. Project Financing

13. Requested Loan Amount: NA 14. Requested Grant Amount: \$125,000

15. Estimated Loan Term: NA

16. Source of Loan Repayment: NA

Attach most current audited financial statement reflecting the loan repayment source

17. Specify any outstanding debt secured by this repayment source: NA

18. Loan Security (description and value): NA

19. Summary Project Budget: specify cost category (e.g. design, land, construction, equipment) and amount for each category NA

20. Total match amount: \$125,000 Cash match: \$125,000 In-kind match: _____

21. List all match/other funding sources for this project. For each source, list:

A. Amount committed or expected – Match is from Humboldt Area Foundation’s COVID-19 Fund

B. Whether the amount is received, committed, application pending, or not yet solicited – HAF has already received \$3 million in contributions to its COVID-19 fund.

C. Receipt date of funds (actual or expected) - Received

D. Restrictions on funds (if any) – Funds must be expended to support communities and organizations in Humboldt County impacted by the COVID-19 pandemic and the economic impact of the shelter in place program.

E. If appropriate, describe your plans for future fund raising. –Fund raising is continuing

D. Project Narrative

For this section, attach pages as needed up to a maximum of five pages (single-sided, 12- point font; number responses and include them in numerical order).

22. Describe the existing facilities and the need/problem.

23. Describe the project (e.g. proposed changes/improvement).

24. What are the project’s goals and benefits?

- Describe who will be the primary/major beneficiary of the project and why. Describe and quantify, if possible, the economic benefit to the primary/major beneficiary and Humboldt County (e.g. jobs created or retained, nonprofits retained). Explain how outcomes were calculated – e.g. explain how you estimated the number of jobs created by the project (be specific and state assumptions). Distinguish between jobs/economic impact.

25. Will any entity, other than the Applicant or another governmental entity, derive any special benefits or rights from the Project? (For example, will an entity own, lease, manage, operate, acquire the output of, obtain a priority right or other special arrangement with respect to, or otherwise derive a direct economic benefit from the Project. Priority rights or special rates and charges anticipated for a particular user or group of users should also be explained.)

25. Provide a general timeline for the project, with major milestones noted.


26. Describe the public support and opposition to this project.

27. How will the project be sustained after the grant?

28. Explain what would happen to the project if Headwaters Funds were not granted.

E. Acknowledgement and Signature

I certify that I am an authorized representative of the Applicant, and that I have been authorized by the Applicant to execute this Preliminary Application for funding.

Signature:  Date: 6/3/2020

Name (print): PATRICK O'CLEARY

Title (print): DIRECTOR, COMMUNITY PROSPERITY AND INVESTMENT

Under this proposal, Humboldt Area Foundation (HAF) will be making grants to nonprofit to ensure the operational viability of key nonprofit agencies. HAF is in a unique position to administer this program. As the local community foundation since 1972, HAF provides grant funding to local nonprofits as a core part of its operations. In addition, HAF operates the Northern California Association of Nonprofits (NorCAN), which serves as a clearinghouse of information for local programs.

The project is to support Humboldt County's nonprofit organizations, which comprise an important economic driver in our local economy. According to the Northern California Association of Nonprofits, the nonprofit sector accounts for 15% of the GDP of the entire state of California, with an even higher concentration in the northern coastal areas.

The COVID-19 Shelter-In-Place (SIP) has had an adverse impact on many nonprofit organizations. Many have historically relied on fund-raising galas and events for a large portion of their unrestricted funding, which is often what supports the key administrative positions of a nonprofit. With the shelter-in-place restrictions likely to continue for large gatherings, many nonprofits will be facing staff reductions without new sources of funding. At the same time, many nonprofits are facing increased demands from the constituencies they served as local unemployment has soared and classic support networks have been impacted.

While HAF has significant resources, the size and scope of the impact of the pandemic far outstrip the resources it has to meet the needs. Humboldt County has an estimated 900 nonprofit organizations, many of which provide essential services that assist vulnerable populations such as families with children, elderly, those in need of medical services, and communities of color. HAF staff have had over 400 conversations with organizations and groups in the region

The proposed Headwaters Fund grant will be used to make up to \$10,000 grants to nonprofit organizations in Humboldt County struggling from the impacts of the Shelter-In-Place. Each grant will be matched 1:1 by HAF's COVID-19 Fund or other HAF resources. This will allow the service organizations to maintain employment and continue to provide essential services. The main beneficiaries of these grants will be the county as a whole, in that employment will be stabilized and services will continue.

It is estimated that large events such as fund-raising dinners and auctions are not likely to be resumed for up to one year. Therefore, HAF is looking at this one year window as the time for this type of grantmaking to continue. With some hope that science will come up with treatments and a vaccine by then, the program should not need to be sustained beyond the one year timeframe.