

ATTACHMENT 3

**Headwaters Fund Grant Rating Summary
November 1, 2017 Grant Round**

Sequoia Park Zoo Foundation

Individual Scores:	79
	65
	50
	85
	80
	70
	<u>60</u>
Average	70

North Coast Small Business Development Center

Individual Scores:	48
	85
	75
	69
	65
	88
	<u>75</u>
Average	72

Norcal Procurement Technical Assistance Center

Individual Scores:	39
	65
	40
	40
	70
	86
	<u>75</u>
Average	59

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: Squaw Park 200
 Board Member Name: Dan Hoops
 Date Reviewed:

(50)

Funding Requested:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

S	M	W	
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Supports the development of pre-permitted commercial space
	<input checked="" type="checkbox"/>		Reduces regulatory bottlenecks for business retention or creation
<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	Supports economic development infrastructure
		<input checked="" type="checkbox"/>	Benefits the base or Targets of Opportunity industries
	<input checked="" type="checkbox"/>		Develops strategies for economic development or supports existing strategies
	<input checked="" type="checkbox"/>		Provides access to external markets or plugs the leaks
<input checked="" type="checkbox"/>			Retains and grows existing businesses
		<input checked="" type="checkbox"/>	Provides workforce training
		<input checked="" type="checkbox"/>	Increases the number of new businesses through supporting entrepreneurship and innovation
<input checked="" type="checkbox"/>			Leverages future funding or projects
		<input checked="" type="checkbox"/>	Increases prosperity by helping low-income individuals develop business skills
50			Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available
 (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
<input checked="" type="checkbox"/>			Protects and/or improves the natural environment
<input checked="" type="checkbox"/>			Supports sustainable use of environmental resources
	<input checked="" type="checkbox"/>		Preserves open spaces and working landscapes including ranches and other agricultural lands
	<input checked="" type="checkbox"/>		Utilizes environmental knowledge in the creation of jobs
		<input checked="" type="checkbox"/>	Builds leadership skills
		<input checked="" type="checkbox"/>	Project is in a geographically underserved area or serves an underserved population
<input checked="" type="checkbox"/>			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

	S	M	W	
X				Builds public infrastructure
			X	Improves public or pedestrian transportation
			X	Utilizes existing buildings
X				Fits with community image and identity
X				Preserves cultural heritage
X				Contributes to Humboldt County being a desirable place to live
30				Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

	S	M	W	
	X			Project fulfills an unmet need.
		X		Demonstrates cross-sector support (i.e. government/private or cross industry)
X				Ingredients for project success in place- research, planning, permitting
		X		Project scope contains sufficient detail to define success
+				Visible private industry sector support (i.e. letter of support)
		+		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
		+		Timeliness of project and likelihood it will succeed
			X	Diversity of funding partners and firmness of commitments
			X	Project budget well thought out and reasonable
			X	Degree of match funding (both committed and not, with higher points for committed)
				Total Points - Design and Readiness and Fiscal

0

10
Grand Total for the Project

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: Zoo

Funding Requested:

Board Member Name: Atuek Euswerth

Date Reviewed: 12/12/17

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

<input checked="" type="checkbox"/>			Supports the development of pre-permitted commercial space
<input checked="" type="checkbox"/>			Reduces regulatory bottlenecks for business retention or creation
<input checked="" type="checkbox"/>			Supports economic development infrastructure
<input checked="" type="checkbox"/>			Benefits the base or Targets of Opportunity industries
<input checked="" type="checkbox"/>			Develops strategies for economic development or supports existing strategies
	<input checked="" type="checkbox"/>		Provides access to external markets or plugs the leaks
<input checked="" type="checkbox"/>			Retains and grows existing businesses
		<input checked="" type="checkbox"/>	Provides workforce training
		<input checked="" type="checkbox"/>	Increases the number of new businesses through supporting entrepreneurship and innovation
		<input checked="" type="checkbox"/>	Leverages future funding or projects
		<input checked="" type="checkbox"/>	Increases prosperity by helping low-income individuals develop business skills
<u>25</u>	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

<input checked="" type="checkbox"/>			Protects and/or improves the natural environment
	<input checked="" type="checkbox"/>		Supports sustainable use of environmental resources
<input checked="" type="checkbox"/>			Preserves open spaces and working landscapes including ranches and other agricultural lands
<input checked="" type="checkbox"/>			Utilizes environmental knowledge in the creation of jobs
<input checked="" type="checkbox"/>			Builds leadership skills
		<input checked="" type="checkbox"/>	Project is in a geographically underserved area or serves an underserved population
<input checked="" type="checkbox"/>			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

✓			Builds public infrastructure
	✓		Improves public or pedestrian transportation
✓			Utilizes existing buildings
✓			Fits with community image and identity
✓			Preserves cultural heritage
✓			Contributes to Humboldt County being a desirable place to live
30	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

✓			Project fulfills an unmet need.
✓			Demonstrates cross-sector support (i.e. government/private or cross industry)
		✓	Ingredients for project success in place- research, planning, permitting
	✓		Project scope contains sufficient detail to define success
	✓		Visible private industry sector support (i.e. letter of support)
		✓	Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	✓		Timeliness of project and likelihood it will succeed
✓			Diversity of funding partners and firmness of commitments
✓			Project budget well thought out and reasonable
		✓	Degree of match funding (both committed and not, with higher points for committed)
15	Total Points - Design and Readiness and Fiscal		

70 **Grand Total for the Project**

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: 200

Funding Requested:

Board Member Name:

Date Reviewed:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

		✓	Supports the development of pre-permitted commercial space
		✓	Reduces regulatory bottlenecks for business retention or creation
	✓		Supports economic development infrastructure
			Benefits the base or Targets of Opportunity industries
	-		Develops strategies for economic development or supports existing strategies
	-		Provides access to external markets or plugs the leaks
		✓	Retains and grows existing businesses
		✓	Provides workforce training
		✓	Increases the number of new businesses through supporting entrepreneurship and innovation
✓			Leverages future funding or projects
		✓	Increases prosperity by helping low-income individuals develop business skills
30	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

	✓		Protects and/or improves the natural environment
	✓		Supports sustainable use of environmental resources
		✓	Preserves open spaces and working landscapes including ranches and other agricultural lands
		✓	Utilizes environmental knowledge in the creation of jobs
		✓	Builds leadership skills
		✓	Project is in a geographically underserved area or serves an underserved population
	✓		Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

	/		Builds public infrastructure
		/	Improves public or pedestrian transportation
		/	Utilizes existing buildings
/			Fits with community image and identity
	/		Preserves cultural heritage
/			Contributes to Humboldt County being a desirable place to live
20	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

		/	Project fulfills an unmet need
		/	Demonstrates cross-sector support (i.e. government/private or cross industry)
		/	Ingredients for project success in place- research, planning, permitting
	/		Project scope contains sufficient detail to define success
		/	Visible private industry sector support (i.e. letter of support)
	/		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	/		Timeliness of project and likelihood it will succeed
	/		Diversity of funding partners and firmness of commitments
		/	Project budget well thought out and reasonable
		/	Degree of match funding (both committed and not, with higher points for committed)
10	Total Points - Design and Readiness and Fiscal		

600 **Grand Total for the Project**

Headwaters Fund List of Criteria and Scoring Worksheet

85

Project Name: *Canopy Walk*
 Board Member Name: *Cedar Reuben*
 Date Reviewed: *12/12/17*

Funding Requested:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

		✓	Supports the development of pre-permitted commercial space
	✓		Reduces regulatory bottlenecks for business retention or creation
	✓		Supports economic development infrastructure
	✓		Benefits the base or Targets of Opportunity industries
✓			Develops strategies for economic development or supports existing strategies
		✓	Provides access to external markets or plugs the leaks
	✓		Retains and grows existing businesses
	✓		Provides workforce training
		✓	Increases the number of new businesses through supporting entrepreneurship and innovation
✓			Leverages future funding or projects
		✓	Increases prosperity by helping low-income individuals develop business skills
45	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

✓			Protects and/or improves the natural environment
✓			Supports sustainable use of environmental resources
		✓	Preserves open spaces and working landscapes including ranches and other agricultural lands
✓			Utilizes environmental knowledge in the creation of jobs
	✓		Builds leadership skills
		✓	Project is in a geographically underserved area or serves an underserved population
✓			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

	✓		Builds public infrastructure
		✓	Improves public or pedestrian transportation
		✓	Utilizes existing buildings
✓			Fits with community image and identity
✓			Preserves cultural heritage
✓			Contributes to Humboldt County being a desirable place to live
25	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
✓			Project fulfills an unmet need.
✓			Demonstrates cross-sector support: (i e. government/private or cross industry)
✓			Ingredients for project success in place- research, planning, permitting
✓	✓		Project scope contains sufficient detail to define success
	✓		Visible private industry sector support (i.e. letter of support)
	✓		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	✓		Timeliness of project and likelihood it will succeed
✓			Diversity of funding partners and firmness of commitments
✓			Project budget well thought out and reasonable
✓			Degree of match funding (both committed and not, with higher points for committed)
15	Total Points - Design and Readiness and Fiscal		

85

Grand Total for the Project

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: *Native Predator Zone & Reduced Canopy Walk* Funding Requested: *\$50,000*

Board Member Name: *Rupp*
 Date Reviewed: *50*

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

		X	Supports the development of pre-permitted commercial space
			Reduces regulatory bottlenecks for business retention or creation
		X	Supports economic development infrastructure
			Benefits the base or Targets of Opportunity industries
			Develops strategies for economic development or supports existing strategies
			Provides access to external markets or plugs the leaks
			Retains and grows existing businesses
			Provides workforce training
			Increases the number of new businesses through supporting entrepreneurship and innovation
X		✓	Leverages future funding or projects
			Increases prosperity by helping low-income individuals develop business skills
<i>20</i>	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

			Protects and/or improves the natural environment
			Supports sustainable use of environmental resources
			Preserves open spaces and working landscapes including ranches and other agricultural lands
			Utilizes environmental knowledge in the creation of jobs
			Builds leadership skills
			Project is in a geographically underserved area or serves an underserved population
			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

			Builds public infrastructure
			Improves public or pedestrian transportation
			Utilizes existing buildings
			Fits with community image and identity
			Preserves cultural heritage
			Contributes to Humboldt County being a desirable place to live
50	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

			Project fulfills an unmet need.
X			Demonstrates cross-sector support (i.e. government/private or cross industry)
	X	X	Ingredients for project success in place- research, planning, permitting
		X	Project scope contains sufficient detail to define success
			Visible private industry sector support (i.e. letter of support)
		X	Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	X		Timeliness of project and likelihood it will succeed
	X		Diversity of funding partners and firmness of commitments
X			Project budget well thought out and reasonable
	X		Degree of match funding (both committed and not, with higher points for committed)
10	Total Points - Design and Readiness and Fiscal		

100

50
Grand Total for the Project

65

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: *Sequoia Zoo*
 Board Member Name: *Webb*
 Date Reviewed: *11-7-2017*

Funding Requested:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Supports the development of pre-permitted commercial space
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Reduces regulatory bottlenecks for business retention or creation
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Supports economic development infrastructure
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Benefits the base or Targets of Opportunity industries
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Develops strategies for economic development or supports existing strategies
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Provides access to external markets or plugs the leaks
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Retains and grows existing businesses
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Provides workforce training
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Increases the number of new businesses through supporting entrepreneurship and innovation
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Leverages future funding or projects
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Increases prosperity by helping low-income individuals develop business skills

25 **Total Points - Economic Impact**

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Protects and/or improves the natural environment
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Supports sustainable use of environmental resources
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Preserves open spaces and working landscapes including ranches and other agricultural lands
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Utilizes environmental knowledge in the creation of jobs
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Builds leadership skills
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Project is in a geographically underserved area or serves an underserved population
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

✓	.		Builds public infrastructure
			Improves public or pedestrian transportation
	✓		Utilizes existing buildings
✓	✓		Fits with community image and identity
✓	✓		Preserves cultural heritage
✓			Contributes to Humboldt County being a desirable place to live
25			Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

	✓		Project fulfills an unmet need.
		✓	Demonstrates cross-sector support (i.e. government/private or cross industry)
	✓	✓	Ingredients for project success in place- research, planning, permitting
		✓	Project scope contains sufficient detail to define success
		✓	Visible private industry sector support (i.e. letter of support)
	✓		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	✓		Timeliness of project and likelihood it will succeed
	✓	✓	Diversity of funding partners and firmness of commitments
	✓	✓	Project budget well thought out and reasonable
			Degree of match funding (both committed and not, with higher points for committed)
15			Total Points - Design and Readiness and Fiscal

0 65 **Grand Total for the Project**

~~70~~

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: NATIVE PRED & CANOPY WALK
 Board Member Name: GS.
 Date Reviewed: 12-12-2017

79

Funding Requested: \$50,000

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

5		<input checked="" type="checkbox"/>	Supports the development of pre-permitted commercial space
	2		Reduces regulatory bottlenecks for business retention or creation
5			Supports economic development infrastructure
5			Benefits the base or Targets of Opportunity industries
5			Develops strategies for economic development or supports existing strategies
5			Provides access to external markets or plugs the leaks
5	3		Retains and grows existing businesses
		1	Provides workforce training
		1	Increases the number of new businesses through supporting entrepreneurship and innovation
	3		Leverages future funding or projects
		1	Increases prosperity by helping low-income individuals develop business skills
38			Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available
 (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

3			Protects and/or improves the natural environment
3			Supports sustainable use of environmental resources
3			Preserves open spaces and working landscapes including ranches and other agricultural lands
3			Utilizes environmental knowledge in the creation of jobs
	2		Builds leadership skills
		1	Project is in a geographically underserved area or serves an underserved population
3			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

3			Builds public infrastructure
			Improves public or pedestrian transportation
3			Utilizes existing buildings
3			Fits with community image and identity
3			Preserves cultural heritage
3			Contributes to Humboldt County being a desirable place to live
			Total Points - Environment and Quality of Life
28			

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

2			Project fulfills an unmet need.
2			Demonstrates cross-sector support (i.e. government/private or cross industry)
2			Ingredients for project success in place- research, planning, permitting
2			Project scope contains sufficient detail to define success
.			Visible private industry sector support (i.e. letter of support)
		0	Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	1		Timeliness of project and likelihood it will succeed
		0	Diversity of funding partners and firmness of commitments
2			Project budget well thought out and reasonable
2			Degree of match funding (both committed and not, with higher points for committed)
			Total Points - Design and Readiness and Fiscal
13			

0 **Grand Total for the Project**

1
 38
 28
 13

 79

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: North Coast SBDC

Funding Requested:

Board Member Name: Dianna

(B)

Date Reviewed:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

	X		Supports the development of pre-permitted commercial space
X			Reduces regulatory bottlenecks for business retention or creation
	X		Supports economic development infrastructure
X			Benefits the base or Targets of Opportunity industries
	+		Develops strategies for economic development or supports existing strategies
	X		Provides access to external markets or plugs the leaks
X			Retains and grows existing businesses
X			Provides workforce training
		+	Increases the number of <u>new businesses</u> through supporting entrepreneurship and innovation
	X		Leverages future funding or projects
	X		Increases prosperity by helping low-income individuals develop business skills
X	M		Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available
(check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

	X		Protects and/or improves the natural environment
	X		Supports sustainable use of environmental resources
	X		Preserves open spaces and working landscapes including ranches and other agricultural lands
	+	M	Utilizes environmental knowledge in the creation of jobs
X			Builds leadership skills
X			Project is in a geographically underserved area or serves an underserved population
	X		Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

	S	M	W	Builds public infrastructure
			X	Improves public or pedestrian transportation
	X	X	X	Utilizes existing buildings
	X			Fits with community image and identity
	X			Preserves cultural heritage
	X			Contributes to Humboldt County being a desirable place to live
20				Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

	S	M	W	
X				Project fulfills an unmet need.
		X		Demonstrates cross-sector support (i.e. government/private or cross industry)
			X	Ingredients for project success in place- research, planning, permitting
X			X	Project scope contains sufficient detail to define success
		X		Visible private industry sector support (i.e. letter of support)
		X		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
X				Timeliness of project and likelihood it will succeed
			X	Diversity of funding partners and firmness of commitments
		X		Project budget well thought out and reasonable
		X		Degree of match funding (both committed and not, with higher points for committed)
10				Total Points - Design and Readiness and Fiscal

0

Grand Total for the Project

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: NCSB / SUCCESSION

Funding Requested:

Board Member Name: ETUOK ELSWORTH

Date Reviewed: 12/12/12

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

S	M	W	
✓			Supports the development of pre-permitted commercial space
✓			Reduces regulatory bottlenecks for business retention or creation
✓			Supports economic development infrastructure
✓			Benefits the base or Targets of Opportunity industries
✓			Develops strategies for economic development or supports existing strategies
	✓		Provides access to external markets or plugs the leaks
✓			Retains and grows existing businesses
	✓		Provides workforce training
		✓	Increases the number of new businesses through supporting entrepreneurship and innovation
	✓		Leverages future funding or projects
✓			Increases prosperity by helping low-income individuals develop business skills
45			Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available
(check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
		✓	Protects and/or improves the natural environment
		✓	Supports sustainable use of environmental resources
		✓	Preserves open spaces and working landscapes including ranches and other agricultural lands
	✓		Utilizes environmental knowledge in the creation of jobs
✓			Builds leadership skills
✓			Project is in a geographically underserved area or serves an underserved population
✓			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

		✓	Builds public infrastructure
		✓	Improves public or pedestrian transportation
✓			Utilizes existing buildings
✓			Fits with community image and identity
✓			Preserves cultural heritage
✓			Contributes to Humboldt County being a desirable place to live
25	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
✓			Project fulfills an unmet need
✓			Demonstrates cross-sector support (i.e. government/private or cross industry)
	✓		Ingredients for project success in place- research, planning, permitting
✓			Project scope contains sufficient detail to define success
	✓		Visible private industry sector support (i.e. letter of support)
✓			Implementation vs preliminary phase (Implementation projects receive higher points than studies)
✓			Timeliness of project and likelihood it will succeed
		✓	Diversity of funding partners and firmness of commitments
✓			Project budget well thought out and reasonable
	✓		Degree of match funding (both committed and not, with higher points for committed)
18	Total Points - Design and Readiness and Fiscal		

83 **Grand Total for the Project**

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: Succession Planning

Funding Requested:

Board Member Name: C. L.

Date Reviewed:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

			Supports the development of pre-permitted commercial space
			Reduces regulatory bottlenecks for business retention or creation
			Supports economic development infrastructure
			Benefits the base or Targets of Opportunity industries
			Develops strategies for economic development or supports existing strategies
			Provides access to external markets or plugs the leaks
			Retains and grows existing businesses
			Provides workforce training
			Increases the number of new businesses through supporting entrepreneurship and innovation
			Leverages future funding or projects
			Increases prosperity by helping low-income individuals develop business skills
<u>30</u>	<u>40</u>		Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

			Protects and/or improves the natural environment
			Supports sustainable use of environmental resources
			Preserves open spaces and working landscapes including ranches and other agricultural lands
			Utilizes environmental knowledge in the creation of jobs
			Builds leadership skills
			Project is in a geographically underserved area or serves an underserved population
			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

			Builds public infrastructure
			Improves public or pedestrian transportation
			Utilizes existing buildings
			Fits with community image and identity
			Preserves cultural heritage
			Contributes to Humboldt County being a desirable place to live
20	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

			Project fulfills an unmet need.
			Demonstrates cross-sector support (i.e. government/private or cross industry)
			Ingredients for project success in place- research, planning, permitting
			Project scope contains sufficient detail to define success
			Visible private industry sector support (i.e. letter of support)
			Implementation vs preliminary phase (Implementation projects receive higher points than studies)
			Timeliness of project and likelihood it will succeed
			Diversity of funding partners and firmness of commitments
			Project budget well thought out and reasonable
			Degree of match funding (both committed and not, with higher points for committed)
15	Total Points - Design and Readiness and Fiscal		

750

Grand Total for the Project

Headwaters Fund List of Criteria and Scoring Worksheet

69

Project Name: ← Cedar Rapids

Funding Requested:

Board Member Name: Business Succession Program

Date Reviewed: 12/12/17

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

		✓	Supports the development of pre-permitted commercial space
	✓		Reduces regulatory bottlenecks for business retention or creation
✓			Supports economic development infrastructure
✓			Benefits the base or Targets of Opportunity industries
✓			Develops strategies for economic development or supports existing strategies
	✓		Provides access to external markets or plugs the leaks
✓			Retains and grows existing businesses
	✓		Provides workforce training
	✓		Increases the number of new businesses through supporting entrepreneurship and innovation
		✓	Leverages future funding or projects
	✓		Increases prosperity by helping low-income individuals develop business skills
40	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

		✓	Protects and/or improves the natural environment
		✓	Supports sustainable use of environmental resources
		✓	Preserves open spaces and working landscapes including ranches and other agricultural lands
		✓	Utilizes environmental knowledge in the creation of jobs
✓			Builds leadership skills
	✓		Project is in a geographically underserved area or serves an underserved population
	✓		Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

		✓	Builds public infrastructure
		✓	Improves public or pedestrian transportation
		✓	Utilizes existing buildings
	✓		Fits with community image and identity
	✓		Preserves cultural heritage
	✓		Contributes to Humboldt County being a desirable place to live
10	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	Criteria
✓			Project fulfills an unmet need.
	✓		Demonstrates cross-sector support (i.e. government/private or cross industry)
✓			Ingredients for project success in place- research, planning, permitting
✓			Project scope contains sufficient detail to define success
✓			Visible private industry sector support (i.e. letter of support)
✓			Implementation vs preliminary phase (Implementation projects receive higher points than studies)
✓			Timeliness of project and likelihood it will succeed
	✓		Diversity of funding partners and firmness of commitments
	✓		Project budget well thought out and reasonable
	✓		Degree of match funding (both committed and not, with higher points for committed)
19	Total Points - Design and Readiness and Fiscal		

690

Grand Total for the Project

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: *Huboldt County Business Succession*

Funding Requested: *\$29,918*

Board Member Name: *Rupp*

Date Reviewed: *7/5/13*

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

S	M	W	
			Supports the development of pre-permitted commercial space
			Reduces regulatory bottlenecks for business retention or creation
X			Supports economic development infrastructure
X			Benefits the base or Targets of Opportunity industries
X			Develops strategies for economic development or supports existing strategies
		X	Provides access to external markets or plugs the leaks
X			Retains and grows existing businesses
			Provides workforce training
			Increases the number of new businesses through supporting entrepreneurship and innovation
			Leverages future funding or projects
			Increases prosperity by helping low-income individuals develop business skills
<i>45</i>			Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available
(check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
			Protects and/or improves the natural environment
			Supports sustainable use of environmental resources
			Preserves open spaces and working landscapes including ranches and other agricultural lands
			Utilizes environmental knowledge in the creation of jobs
			Builds leadership skills
			Project is in a geographically underserved area or serves an underserved population
			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

			Builds public infrastructure
			Improves public or pedestrian transportation
			Utilizes existing buildings
			Fits with community image and identity
			Preserves cultural heritage
			Contributes to Humboldt County being a desirable place to live
10	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
(check off boxes which apply using the "strong, moderate, weak" scale)

S M W

			Project fulfills an unmet need.
X			Demonstrates cross-sector support (i.e. government/private or cross industry)
			Ingredients for project success in place- research, planning, permitting
X			Project scope contains sufficient detail to define success
			Visible private industry sector support (i.e. letter of support)
			Implementation vs preliminary phase (Implementation projects receive higher points than studies)
			Timeliness of project and likelihood it will succeed
			Diversity of funding partners and firmness of commitments
			Project budget well thought out and reasonable
			Degree of match funding (both committed and not, with higher points for committed)
20	Total Points - Design and Readiness and Fiscal		

0

Grand Total for the Project

75pts

85

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: Business Succession
 Board Member Name: Webb
 Date Reviewed: 11-7-2017

Funding Requested:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

S	M	W	
			Supports the development of pre-permitted commercial space
			Reduces regulatory bottlenecks for business retention or creation
		✓	Supports economic development infrastructure
✓	✓		Benefits the base or Targets of Opportunity industries
✓			Develops strategies for economic development or supports existing strategies
	✓		Provides access to external markets or plugs the leaks
✓			Retains and grows existing businesses
			Provides workforce training
	✓		Increases the number of new businesses through supporting entrepreneurship and innovation
			Leverages future funding or projects
		✓	Increases prosperity by helping low-income individuals develop business skills
<u>10</u>	<u>3.5</u>		Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
			Protects and/or improves the natural environment
			Supports sustainable use of environmental resources
			Preserves open spaces and working landscapes including ranches and other agricultural lands
✓			Utilizes environmental knowledge in the creation of jobs
✓			Builds leadership skills
	✓		Project is in a geographically underserved area or serves an underserved population
✓			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

			Builds public infrastructure
			Improves public or pedestrian transportation
	/		Utilizes existing buildings
✓			Fits with community image and identity
	/		Preserves cultural heritage
✓			Contributes to Humboldt County being a desirable place to live
25			Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S	M	W	
✓	/		Project fulfills an unmet need
✓	/		Demonstrates cross-sector support (i.e. government/private or cross industry)
✓	/		Ingredients for project success in place- research, planning, permitting
✓	/		Project scope contains sufficient detail to define success
✓	/		Visible private industry sector support (i.e. letter of support)
✓		/	Implementation vs preliminary phase (Implementation projects receive higher points than studies)
.	✓		Timeliness of project and likelihood it will succeed
✓	/		Diversity of funding partners and firmness of commitments
✓	/		Project budget well thought out and reasonable
✓			Degree of match funding (both committed and not, with higher points for committed)
20			Total Points - Design and Readiness and Fiscal

0

Grand Total for the Project

85

Headwaters Fund List of Criteria and Scoring Worksheet

48

Project Name: SBDC-SUCCESSION PLANNING Funding Requested: \$299,18
 Board Member Name: GS.
 Date Reviewed: 12-12-2017 0% MATCH
DISQUALIFIED

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

		0	Supports the development of pre-permitted commercial space
		0	Reduces regulatory bottlenecks for business retention or creation
5			Supports economic development infrastructure
5			Benefits the base or Targets of Opportunity industries
	3		Develops strategies for economic development or supports existing strategies
		0	Provides access to external markets or plugs the leaks
	3		Retains and grows existing businesses
		0	Provides workforce training
		1	Increases the number of new businesses through supporting entrepreneurship and innovation
		1	Leverages future funding or projects
		1	Increases prosperity by helping low-income individuals develop business skills
19	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available
 (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

		0	Protects and/or improves the natural environment
		0	Supports sustainable use of environmental resources
		0	Preserves open spaces and working landscapes including ranches and other agricultural lands
		0	Utilizes environmental knowledge in the creation of jobs
3			Builds leadership skills
	2		Project is in a geographically underserved area or serves an underserved population
3			Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

		0	Builds public infrastructure
		0	Improves public or pedestrian transportation
	2		Utilizes existing buildings
	2		Fits with community image and identity
3			Preserves cultural heritage
3			Contributes to Humboldt County being a desirable place to live
	18		Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available
(check off boxes which apply using the "strong, moderate, weak" scale)

S M W

2			Project fulfills an unmet need.
	1		Demonstrates cross-sector support (i.e. government/private or cross industry)
	1		Ingredients for project success in place- research, planning, permitting
	1		Project scope contains sufficient detail to define success
2			Visible private industry sector support (i.e. letter of support)
2			Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	1		Timeliness of project and likelihood it will succeed
		0	Diversity of funding partners and firmness of commitments
	1		Project budget well thought out and reasonable
		0	Degree of match funding (both committed and not, with higher points for committed)
	11		Total Points - Design and Readiness and Fiscal

0 **Grand Total for the Project**
48

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: Abroad Procurement



Funding Requested:

Board Member Name: Danna

Date Reviewed:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

		<input checked="" type="checkbox"/>	Supports the development of pre-permitted commercial space
<input checked="" type="checkbox"/>			Reduces regulatory bottlenecks for business retention or creation
<input checked="" type="checkbox"/>			Supports economic development in infrastructure
<input checked="" type="checkbox"/>			Benefits the base or Targets of Opportunity industries
<input checked="" type="checkbox"/>			Develops strategies for economic development or supports existing strategies
	<input checked="" type="checkbox"/>		Provides access to external markets or plugs the leaks
	<input checked="" type="checkbox"/>		Retains and grows existing businesses
	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Provides workforce training
		<input checked="" type="checkbox"/>	Increases the number of new businesses through supporting entrepreneurship and innovation
	<input checked="" type="checkbox"/>		Leverages future funding or projects
		<input checked="" type="checkbox"/>	Increases prosperity by helping low-income individuals develop business skills
45	45		Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available
(check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

		<input checked="" type="checkbox"/>	Protects and/or improves the natural environment
	<input checked="" type="checkbox"/>		Supports sustainable use of environmental resources
		<input checked="" type="checkbox"/>	Preserves open spaces and working landscapes including ranches and other agricultural lands
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		Utilizes environmental knowledge in the creation of jobs
	<input checked="" type="checkbox"/>		Builds leadership skills
		<input checked="" type="checkbox"/>	Project is in a geographically underserved area or serves an underserved population
		<input checked="" type="checkbox"/>	Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

+			Builds public infrastructure
		x	Improves public or pedestrian transportation
		x	Utilizes existing buildings
x			Fits with community image and identity
		x	Preserves cultural heritage
+			Contributes to Humboldt County being a desirable place to live
10	10		Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

✓			Project fulfills an unmet need.
x			Demonstrates cross-sector support (i.e. government/private or cross industry)
x			Ingredients for project success in place- research, planning, permitting
	x		Project scope contains sufficient detail to define success
	x		Visible private industry sector support (i.e. letter of support)
	x		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
x			Timeliness of project and likelihood it will succeed
		x	Diversity of funding partners and firmness of commitments
	x		Project budget well thought out and reasonable
		x	Degree of match funding (both committed and not, with higher points for committed)
15			Total Points - Design and Readiness and Fiscal

~~15~~ 0

Grand Total for the Project

Headwaters Fund List of Criteria and Scoring Worksheet

ABSTAIN

DUE TO OBSERVATION
BY GREG OF NO
FUNDING REQUESTED: MATCHING
FUNDS

Project Name: NOR CAL PROCUREMENT
Board Member Name: CHUCK ELLSWORTH
Date Reviewed: 12/12/12

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Supports the development of pre-permitted commercial space
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Reduces regulatory bottlenecks for business retention or creation
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Supports economic development infrastructure
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Benefits the base or Targets of Opportunity industries
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Develops strategies for economic development or supports existing strategies
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Provides access to external markets or plugs the leaks
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Retains and grows existing businesses
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Provides workforce training
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Increases the number of new businesses through supporting entrepreneurship and innovation
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Leverages future funding or projects
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Increases prosperity by helping low-income individuals develop business skills
50	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available
(check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Protects and/or improves the natural environment
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Supports sustainable use of environmental resources
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Preserves open spaces and working landscapes including ranches and other agricultural lands
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Utilizes environmental knowledge in the creation of jobs
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Builds leadership skills
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Project is in a geographically underserved area or serves an underserved population
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

<input checked="" type="checkbox"/>			Builds public infrastructure
<input checked="" type="checkbox"/>			Improves public or pedestrian transportation
<input checked="" type="checkbox"/>			Utilizes existing buildings
	<input checked="" type="checkbox"/>		Fits with community image and identity
		<input checked="" type="checkbox"/>	Preserves cultural heritage
<input checked="" type="checkbox"/>			Contributes to Humboldt County being a desirable place to live
28	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
<input checked="" type="checkbox"/>			Project fulfills an unmet need.
<input checked="" type="checkbox"/>			Demonstrates cross-sector support (i.e. government/private or cross industry)
<input checked="" type="checkbox"/>			Ingredients for project success in place- research, planning, permitting
<input checked="" type="checkbox"/>			Project scope contains sufficient detail to define success
<input checked="" type="checkbox"/>			Visible private industry sector support (i.e. letter of support)
	<input checked="" type="checkbox"/>		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
<input checked="" type="checkbox"/>			Timeliness of project and likelihood it will succeed
		<input checked="" type="checkbox"/>	Diversity of funding partners and firmness of commitments
<input checked="" type="checkbox"/>			Project budget well thought out and reasonable
		<input checked="" type="checkbox"/>	Degree of match funding (both committed and not, with higher points for committed)
86	Total Points - Design and Readiness and Fiscal		

86

Grand Total for the Project

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: PTAC

Funding Requested:

Board Member Name: C-1

Date Reviewed:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Supports the development of pre-permitted commercial space
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Reduces regulatory bottlenecks for business retention or creation
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Supports economic development infrastructure
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Benefits the base or Targets of Opportunity industries
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Develops strategies for economic development or supports existing strategies
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Provides access to external markets or plugs the leaks
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Retains and grows existing businesses
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Provides workforce training
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Increases the number of new businesses through supporting entrepreneurship and innovation
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Leverages future funding or projects
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Increases prosperity by helping low-income individuals develop business skills
40	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Protects and/or improves the natural environment
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Supports sustainable use of environmental resources
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Preserves open spaces and working landscapes including ranches and other agricultural lands
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Utilizes environmental knowledge in the creation of jobs
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Builds leadership skills
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Project is in a geographically underserved area or serves an underserved population
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

			Builds public infrastructure
			Improves public or pedestrian transportation
			Utilizes existing buildings
			Fits with community image and identity
			Preserves cultural heritage
			Contributes to Humboldt County being a desirable place to live
20	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
			Project fulfills an unmet need
			Demonstrates cross-sector support (i.e. government/private or cross industry)
			Ingredients for project success in place- research, planning, permitting
			Project scope contains sufficient detail to define success
			Visible private industry sector support (i.e. letter of support)
			Implementation vs preliminary phase (Implementation projects receive higher points than studies)
			Timeliness of project and likelihood it will succeed
			Diversity of funding partners and firmness of commitments
			Project budget well thought out and reasonable
			Degree of match funding (both committed and not, with higher points for committed)
15	Total Points - Design and Readiness and Fiscal		

750

Grand Total for the Project

Headwaters Fund List of Criteria and Scoring Worksheet

40

Project Name: *Morcal Procurement*
 Board Member Name: *Cedar Reuben*
 Date Reviewed: *12/12/17*

Funding Requested:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

		✓	Supports the development of pre-permitted commercial space
✓			Reduces regulatory bottlenecks for business retention or creation
	✓		Supports economic development infrastructure
	✓		Benefits the base or Targets of Opportunity industries
	✓		Develops strategies for economic development or supports existing strategies
✓			Provides access to external markets or plugs the leaks
	✓		Retains and grows existing businesses
		✓	Provides workforce training
	✓		Increases the number of new businesses through supporting entrepreneurship and innovation
		✓	Leverages future funding or projects
		✓	Increases prosperity by helping low-income individuals develop business skills
25	Total Points - Economic Impact		

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

		✓	Protects and/or improves the natural environment
		✓	Supports sustainable use of environmental resources
		✓	Preserves open spaces and working landscapes including ranches and other agricultural lands
		✓	Utilizes environmental knowledge in the creation of jobs
		✓	Builds leadership skills
	✓		Project is in a geographically underserved area or serves an underserved population
	✓		Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

		✓	Builds public infrastructure
		✓	Improves public or pedestrian transportation
		✓	Utilizes existing buildings
		✓	Fits with community image and identity
		✓	Preserves cultural heritage
	✓		Contributes to Humboldt County being a desirable place to live
5	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

	✓		Project fulfills an unmet need.
		✓	Demonstrates cross-sector support (i e. government/private or cross industry)
	✓		Ingredients for project success in place- research, planning, permitting
	✓		Project scope contains sufficient detail to define success
	✓		Visible private industry sector support (i.e. letter of support)
	✓		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	✓		Timeliness of project and likelihood it will succeed
		✓	Diversity of funding partners and firmness of commitments
		✓	Project budget well thought out and reasonable
		✓	Degree of match funding (both committed and not, with higher points for committed)
10	Total Points - Design and Readiness and Fiscal		

400 **Grand Total for the Project**

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: *North Cal Premier Technical Assist* Funding Requested: *\$23,550*
 Board Member Name: *Russ 40*
 Date Reviewed:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

			Supports the development of pre-permitted commercial space
		X	Reduces regulatory bottlenecks for business retention or creation
			Supports economic development infrastructure
	X		Benefits the base or Targets of Opportunity industries
X			Develops strategies for economic development or supports existing strategies
X			Provides access to external markets or plugs the leaks
	X	X	Retains and grows existing businesses
		X	Provides workforce training
		X	Increases the number of new businesses through supporting entrepreneurship and innovation
		X	Leverages future funding or projects
		X	Increases prosperity by helping low-income individuals develop business skills

20

Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

		X	Protects and/or improves the natural environment
		X	Supports sustainable use of environmental resources
		X	Preserves open spaces and working landscapes including ranches and other agricultural lands
		X	Utilizes environmental knowledge in the creation of jobs
		X	Builds leadership skills
X			Project is in a geographically underserved area or serves an underserved population
		X	Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

		X	Builds public infrastructure
		X	Improves public or pedestrian transportation
	X		Utilizes existing buildings
	X		Fits with community image and identity
		X	Preserves cultural heritage
	X		Contributes to Humboldt County being a desirable place to live
10	Total Points - Environment and Quality of Life		

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

X			Project fulfills an unmet need.
X			Demonstrates cross-sector support (i.e. government/private or cross industry)
X			Ingredients for project success in place- research, planning, permitting
X			Project scope contains sufficient detail to define success
	X		Visible private industry sector support (i.e. letter of support)
	X		Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	X		Timeliness of project and likelihood it will succeed
		X	Diversity of funding partners and firmness of commitments
	X		Project budget well thought out and reasonable
X			Degree of match funding (both committed and not, with higher points for committed)
15	Total Points - Design and Readiness and Fiscal		

~~10~~ 40

Grand Total for the Project

65

Headwaters Fund List of Criteria and Scoring Worksheet

Project Name: *Norcal PTAC*
 Board Member Name: *Webb*
 Date Reviewed: *11-7-2017*

Funding Requested:

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

S	M	W	
			Supports the development of pre-permitted commercial space
			Reduces regulatory bottlenecks for business retention or creation
			Supports economic development infrastructure
✓		✓	Benefits the base or Targets of Opportunity industries
	✓	✓	Develops strategies for economic development or supports existing strategies
	✓		Provides access to external markets or plugs the leaks
	✓		Retains and grows existing businesses
			Provides workforce training
		✓	Increases the number of new businesses through supporting entrepreneurship and innovation
	✓		Leverages future funding or projects
			Increases prosperity by helping low-income individuals develop business skills
30			Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available (check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
			Protects and/or improves the natural environment
			Supports sustainable use of environmental resources
✓			Preserves open spaces and working landscapes including ranches and other agricultural lands
	✓	✓	Utilizes environmental knowledge in the creation of jobs
	✓	✓	Builds leadership skills
	✓	✓	Project is in a geographically underserved area or serves an underserved population
	✓		Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

			Builds public infrastructure
			Improves public or pedestrian transportation
	✓		Utilizes existing buildings
			Fits with community image and identity
		✓	Preserves cultural heritage
	✓		Contributes to Humboldt County being a desirable place to live
145			Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available
(check off boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
✓			Project fulfills an unmet need.
✓			Demonstrates cross-sector support (i.e government/private or cross industry)
	✓		Ingredients for project success in place- research, planning, permitting
		✓	Project scope contains sufficient detail to define success
✓			Visible private industry sector support (i.e. letter of support)
✓			Implementation vs preliminary phase (Implementation projects receive higher points than studies)
✓			Timeliness of project and likelihood it will succeed
	✓		Diversity of funding partners and firmness of commitments
	✓		Project budget well thought out and reasonable
✓			Degree of match funding (both committed and not, with higher points for committed)
20			Total Points - Design and Readiness and Fiscal

69

Grand Total for the Project

65

Headwaters Fund List of Criteria and Scoring Worksheet

39

Project Name: **PTAC**

Funding Requested: **\$23,550**

Board Member Name: **GS**

Date Reviewed: **12-12-2017**

DISQUALIFIED
0% MATCH

This rating sheet will be used to rate each project individually. After projects are rated individually, they will be given a comparative ranking and the top ranked projects may receive funding.

Economic Impact - 50 Points Available (check off boxes which apply, a project may receive full points in any one outcome, or for providing benefits across several. S = strong, M = moderate and W = weak on any given strategy)

S M W

		1	Supports the development of pre-permitted commercial space
		1	Reduces regulatory bottlenecks for business retention or creation
	2	0	Supports economic development infrastructure
	2		Benefits the base or Targets of Opportunity industries
	2		Develops strategies for economic development or supports existing strategies
	2		Provides access to external markets or plugs the leaks
		1	Retains and grows existing businesses
		1	Provides workforce training
		1	Increases the number of new businesses through supporting entrepreneurship and innovation
		1	Leverages future funding or projects
		1	Increases prosperity by helping low-income individuals develop business skills
	15		Total Points - Economic Impact

Impact on Environment and Quality of Life - 30 Points Available
(check off all boxes which apply using the "strong, moderate, weak" scale)

S M W

		1	Protects and/or improves the natural environment
		1	Supports sustainable use of environmental resources
		1	Preserves open spaces and working landscapes including ranches and other agricultural lands
		1	Utilizes environmental knowledge in the creation of jobs
	2		Builds leadership skills
	2		Project is in a geographically underserved area or serves an underserved population
		1	Revitalizes communities/infrastructure

Headwaters Fund List of Criteria and Scoring Worksheet

		1	Builds public infrastructure
		1	Improves public or pedestrian transportation
		1	Utilizes existing buildings
	2	1	Fits with community image and identity
		1	Preserves cultural heritage
		1	Contributes to Humboldt County being a desirable place to live
	16		Total Points - Environment and Quality of Life

Project Design and Readiness and Fiscal - 20 Points Available
 (check off boxes which apply using the "strong, moderate, weak" scale)

S M W

S	M	W	
3			Project fulfills an unmet need.
2			Demonstrates cross-sector support (i.e. government/private or cross industry)
		1	Ingredients for project success in place- research, planning, permitting
		0	Project scope contains sufficient detail to define success
		0	Visible private industry sector support (i e. letter of support)
2			Implementation vs preliminary phase (Implementation projects receive higher points than studies)
	1		Timeliness of project and likelihood it will succeed
		0	Diversity of funding partners and firmness of commitments
		0	Project budget well thought out and reasonable
		0	Degree of match funding (both committed and not, with higher points for committed)
	8		Total Points - Design and Readiness and Fiscal

0 **Grand Total for the Project**